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NSTROTIONS: This appropriate the state of th	m should be used for orrespondence including	or transmitting the ISSU ig the Patent, advance of ierwise in Block 1, by (i	JE FEE and PUBLIC	ATION FEE (if requi	ired). Bloc	ks 1 through 5 s led to the current	hould be compl correspondence	eted where address as
naintenance lee notifica	tions.	ock 1 for any change of address)		Note: A certificate of Fec(s) Transmittal. Thipapers. Each additiona	mailing ca	n only be used for	or domestic mail	ings of the
		1000		have its own certificate	of mailing	or transmission.	int or formal dra	wing, must
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12400 Wilshire l 7th Floor	off, Taylor & Zafr Boulevard	nan		I hereby certify that the States Postal Service waddressed to the Mail transmitted to the USP	is Fec(s) T vith sufficion Stop ISS TO (571) 2	ransmittal is being ent postage for firs UE FEE address 73-2885, on the d	g deposited with st class mail in a above, or bein ate indicated bel	the United in envelope g facsimile sow.
Los Angeles, CA	A 90025		. [Christophe		larshall.		ositor's name)
					7. The	inshall		(Signature)
				1	7,00	3/1	1/2009	(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENT	OR	ATTORNE	EY DOCKET NO.	'CONFIRMATI	ON NO.
10/568,608	09/21/2006		Luc Hasenfratz	03/23/8	2009 NNGL	575P002 IYEN2 00000081	, 9183 1056868 8	
TITLE OF INVENTION	: STOCK RETRIEVAL	SYSTEM		01 FC:		,	755.00	OD.
:				02 FC:0 03 FC:0	1564		300.00 30,00	OP .
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE D	UE PREV. PAID ISSUI	E FEE T	OTAL FEE(S) DUE	DATE	DUE
nonprovisional	YES	\$755	\$300	\$0		\$1055	03/17/2	2009
EXAM	IINER	ART UNIT	CLASS-SUBCLASS				•	
BIDWELL,	, JAMES R	3651	198-347100	<u> </u>				
CFR 1.363). Change of corresp Address form PTO/SI "Fee Address" ind	ence address or indication condence address (or Cha B/122) attached. cication (or "Fee Address" 22 or more recent) attach	nge of Correspondence	(1) the names of u or agents OR, alter	ingle firm (having as a or agent) and the nam	t attorneys	& 2	Z, SOKOLO ZAFMAN L	FF, TAYLO
. ASSIGNEE NAME A	ND RESIDENCE DATA	A TO BE PRINTED ON	THE PATENT (print o	r typė)		•		
PLEASE NOTE: Unl	less an assignee is ident	ified below, no assignee bletion of this form is NC	data will appear on the	e patent. If an assignan assignment.	ee is ident	ified below, the d	ocument has be	en filed for
(A) NAME OF ASSIG	•			ITY and STATE OR C	OUNTRY)		
ARX Limit	ed		Herts, (GREAT BRITAIN				
lease check the appropr	riate assignce category or	categories (will not be p	rinted on the patent) :	Individual XXXXC	orporation o	or other private gro	oup entity 🔲 G	iovernment
la. The following fee(s):	are submitted:	4	b. Payment of Fee(s): (1,,,	ıy previou	sly paid issue fee	shown above)	
	No small entity discount p	permitted)	Payment by credit	card. Form PTO-2038				
Advance Order -	# of Copics <u>ten</u>	(10)	The Director is he overpayment, to D	reby authorized to char reposit Account Number	ge the requer	iired fee(s), any de - 2666 (enclose a	ficiency, or cred n extra copy of t	lit any his form).
	tus (from status indicated				··········			
	s SMALL ENTITY state		• • •	longer claiming SMAI				····
NOTE: The Issue Fee an nterest as shown by the	d Publication Fee (if requeecords of the United Sta	uired) will not be accepto tes Patent and Trademarl	ed from anyone other the Office.	an the applicant; a regi	stered attor	mey or agent; or th	ne assignee or of	ner party in
Authorized Signature	Lille	±		Date Ma	rch 17	2009		_
Typed or printed nam	Lester J.	Vincent		Registration N	√o3	1,460		

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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maintenance fee notifications.

TRUCTION his form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate forms and indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for succession of the correct corrected below. Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 7590 12/17/2008 Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. Blakely, Sokoloff, Taylor & Zafman 12400 Wilshire Boulevard 7th Floor Los Angeles, CA 90025 (Depositor's name) pher (Signature (Date FIRST NAMED INVENTOR ATTORNEY DOCKET NO CONFIRMATION NO. APPLICATION NO. **FILING DATE** 10/568,608 09/21/2006 Luc Hasenfratz 7675P002 9183 TITLE OF INVENTION: STOCK RETRIEVAL SYSTEM ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE APPLN. TYPE SMALL ENTITY \$0 \$1055 03/17/2009 YES \$755 \$300 nonprovisional ART UNIT CLASS-SUBCLASS **EXAMINER** BIDWELL, JAMES R 3651 198-347100 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list 1 BLAKELY, SOKOLOFF, TAYLOF (1) the names of up to 3 registered patent attorneys ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. agents OR, alternatively, & ZAFMAN LLP (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (B) RESIDENCE: (CITY and STATE OR COUNTRY) (A) NAME OF ASSIGNEE Herts, GREAT BRITAIN ARX Limited Please check the appropriate assignee category or categories (will not be printed on the patent): 🔲 Individual XXXXCorporation or other private group entity 🚨 Government 4b. Payment of Fcc(s): (Please first reapply any previously paid issue fee shown above) 4a. The following fee(s) are submitted: A check is enclosed. Issue Fee Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number _____02_2666 (enclose an extra copy of this form). ten (10) Advance Order - # of Copies 5. Change in Entity Status (from status indicated above)

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

Authorized Signature

<u>Lester J. Vincent</u> Typed or printed name _

Date March 17, 2009 Registration No. 31,460

b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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FEE TRANSMITTAL FOR FY 2009

(Effective on 10/02/2008. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).
TOTAL AMOUNT OF PAYMENT (\$) 1,085.00
Constant Known:
Application No. 10/568,608
Filing Date September 21, 2006
First Named Inventor Luc Haenfratz
Examiner Name Bidwell, James R.
Art Unit 3651
Attorney Docket No. 7675P002
X Applicant claims small entity status. See 37 CFR 1.27.
METHOD OF PAYMENT (check all that apply)
X Check Credit Card Money Order None Other (please identify)
Deposit Account
Deposit Account Number : <u>02-2666</u>
Deposit Account Name:
X The Director is Authorized to do the following with respect to the above-identified Deposit Account:
X Charge fee(s) indicated below.
X Charge any additional fee(s) or underpayment of fee(s) during the pendency of this application.
Charge fee(s) indicated below except for the filing fee
X Credit any overpayments.
Any concurrent or future reply that requires a petition for extension of time should be treated as
incorporating an appropriate petition for extension of time and all required fees should be charged.
184 - 1.5
Warning: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.
FEE CALCULATION
FEE CALCULATION
4 DACIO EL INO. CEADOLI AND EVAMINATION EEES
1. BASIC FILING, SEARCH, AND EXAMINATION FEES
Laura Futito. Concil Entity
Large Entity Small Entity Fee Fee Fee Fee
1111 540 2111 270 Utility search fee 1,090/545

Large I	Entity	Small I	Entity			
Fee	Fee	Fee	Fee	٦		
Code	(\$)	Code	(\$)	Fee Description		Fees Paid (\$)
1011	330	2011	165	Utility application filing fee		<u> </u>
1111	540	2111	270	Utility search fee	1,090/545	
1311	220	2311	110	Utility examination fee	,	
1012	220	2012	110	Design application filing fee	-	
1112	100	2112	50	Design search fee	460/230	
				•	400/230	
1312	140	2312	70	Design examination fee	_	
1013	220	2013	110	Plant filing fee	_	
1113	330	2113	165	Plant search fee	720/360	
1313	170	2313	85	Plant examination fee		
1014	330	2014	165	Reissue filing fee		
1114	540	2114	270	Reissue search fee	1,520/760	
1314	650	2314	325	Reissue examination fee	1,020/100	-
1314	950	2314	323	Neissac examination fee		
1005	220	2005	110	Provisional application filing fee	е	
		•			SUBTOTAL (1)	\$ 0.00

SUBTOTAL (1) \$ 0.00

2. EXCESS CLAIM FEES

Fee [Descript	<u>ion</u>	
Large	Entity	Small	Entity

· Fee	Fee	Fee	Fee	
Code	(\$)	Code	(\$)	Fee Description
1202	52	2202	26	Each claim over 20
1201	220	2201	110	Each independent claim over 3
1203	390	2203	195	Multiple dependent claims, if not paid
1204	220	2204	110	Reissue: each claim over 20 and more than in the original patent
1205	52	2205	26	Reissue: each independent claim more than in the original patent

	Extra Claims		<u>Fee</u>		Fees Paid (\$)
Total Claims	– 20 or HP =	X	\$ 26.00	=	
Independent Claims	otal claims paid for, if greater than 20 - 3 or HP =	x	<u>\$110.00</u>	=	
HP = highest number of ir Multiple Dependent Cla	dependent claims paid for, if greater than 3 ims			=	

SUBTOTAL (2) \$ <u>0.00</u>

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 C.F.R. 1.52(e)), the application size fee due is \$270 (\$135 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheet	<u>s</u>	50 or fraction thereof		below	Fees paid (\$)
	- 100 =	/ 50 =	(round up to whole number)	X	\$135.00	

Large	Entity	Small I	Entity	
Fee	Fee	Fee	Fee	Fee Description: Application size fee for each additional group of 50 sheets
Code	(\$)	Code	(\$)	beyond initial 100 sheets (count spec & drawings except sequences & program listings):
1081	270	2081	135	Utility
1082	270	2082	135	Design
1083	270	2083	135	Plant
1084	270	2084	135	Reissue
1085	270	2085	135	Provisional

SUBTOTAL (3)\$ 0.00

FEE CALCULATION (continued)							
4. OTHER FEE(S)							
7. ⊆	TITLE TEL	<u>-101</u>			= D-:-1 (A)		
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Non-E	inglish Spe	cification	, \$130 tee	(no small entity discount)			
Large	Entity	Small E	intity				
Fee	Fee	Fee	Fee				
Code	(\$)	Code	(\$)	Fee Description			
1051	130	2051	65	Surcharge - late filing fee or oath			
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet			
1053	130	1053	130	Non-English specification			
1812	2,520	1812	2,520	For filing a request for ex parte reexamination			
1813	8,800	1813	8,800	Request for inter parties reexamination			
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action			
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action			
1251	130	2251	65	Extension for reply within first month	 		
1252	490	2252	245	Extension for reply within second month			
1253	1,110	2253	555	Extension for reply within third month			
1254	1,730	2254	865	Extension for reply within fourth month			
1255	2,350	2255	1,175	Extension for reply within fifth month			
1401	540	2401	270	Notice of Appeal			
1402	540	2402	270	Filing a brief in support of an appeal			
1403	1,080	2403	540	Request for oral hearing			
1451	1,510	1451	1,510	Petition to institute a public use proceeding			
1452	540 4 630	2452	270	Petition to revive – unavoidable			
1453	1,620	2453 2504	810 755	Petition to revive - unintentional	755.00		
1501	1,510 860	2501 2502	755 430	Utility issue fee (or reissue)	755.00		
1502		2502 2503	595	Design issue fee Plant issue fee			
1503 1462	1,190 400	1462	400	Petitions to the Commissioner (CFR 1.17(f) Group I)			
1463	200	1463	200	Petitions to the Commissioner (CFR 1.17(1) Group I)			
1464	130	1464	130	Petitions to the Commissioner (CFR 1.17(g) Group II)			
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)			
1806	180	1806	180	Submission of Information Disclosure Stmt			
8021	40	8021	40	Recording each patent assignment per property (times			
0021	40	0021	40	number of properties)			
1809	810	2809	405	For filing a submission after final rejection (see 37 CFR 1.129(a))			
1814	140	2814	70	Statutory Disclaimer			
1810	810	2810	405	For each additional invention to be examined (see 37 CFR 1.19(b))			
1801	810	2801	405	Request for Continued Examination (RCE)	·		
1802	900	1802	900	Request for expedited examination of a design application			
1504	300	1504	300	Publication fee for early, voluntary, or normal pub.	300.00		
1505	300	1505	300	Publication fee for republication			
1803	130	1803	130	Request for voluntary publication or republication			
1808	130	1808	130	Processing fee under 37 CFR 1.17(i) (except provisionals)			
1454	1,410	1454	1,410	Acceptance of unintentionally delayed claim for priority			
Other	fee (specif	y) Printe	ed Copy of	patent w/o color (10 copies)	30.00		
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				SUBTOTAL (4)\$ <u>1,085.00</u>	-		
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SUBI	MITTED BY	<u>Y</u> :					
Турес	d or Printed	Name:	Lester	J. Vincent			
Signa	ture:	\mathcal{L} Λ	+	Date: March 17, 2009			
J.9.10		100					
Real	Number:	31 460		Telephone Number: 408-720-8300			

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



3	<i>\$</i> / "	Effective or	n 10/02/2008. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).
The made of	~ (1	Ellective of	
			TOTAL AMOUNT OF PAYMENT (\$) 1,085.00
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Application No Filing Date	v		mber 21, 2006
Filing Date			aenfratz
Examiner Nan			II, James R.
Art Unit		3651	
Attorney Dock	et No.		002
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METHOD OF	PAYMEN	NT (chec	ck all that apply)
X Chec	:k	Credit	t Card Money Order None Other (please identify)
	sit Accou		
			t Number : <u>02-2666</u>
	Deposit	t Account	t Name:
	irector is	Authoriz	ed to do the following with respect to the above-identified Deposit Account:
x_	Charge	e fee(s) in	ndicated below.
<u>_x</u>	Charge	any additi	ional fee(s) or underpayment of fee(s) during the pendency of this application.
			ndicated below except for the filing fee
$\frac{\overline{x}}{x}$			payments. or future reply that requires a petition for extension of time should be treated as
X incorr	ANY COI orating an	o Inerruoria appronria	or future reply that requires a petition for extension of time should be treated as the petition for extension of time and all required fees should be charged.
•	-		
Warning: Inform	nation on th	nis form m	nay become public. Credit card information should not be included on this form. Provide credit card
information and	authorizat	ion on PT	O-2038.
FEE CALCU	LATION		
1. BASIC FIL	ING, SE	ARCH, A	AND EXAMINATION FEES
Large Entity	Small E	Entity	
Fee Fee	Fee	Fee	٦
Code (\$)	Code	(\$)	Fee Description Fees Paid (\$)
1011 330	2011	165	Utility application filing fee
1111 540	2111	270	Utility search fee 1,090/545
1311 220	2311	110	Utility examination fee
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1012 220	2012	110	Design application filing fee
1112 100	2112	50	Design search fee 460/230
1312 140	2312	70	Design examination fee
4040 000	2042	440	Plant filing fee
1013 220 1113 330	2013 2113	110 165	Plant search fee 720/360
1113 330 1313 170	2113	85	Plant examination fee
1919 170	2010		
1014 330	2014	165	Reissue filing fee
1114 540		270	Reissue search fee 1,520/760
1314 650		325	Reissue examination fee
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			SUBTOTAL (1) \$ 0.00
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2.	EXC	ESS	CLA	IM F	EES

Fee	Des	crip	<u>tion</u>
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Large Entity		Small Entity		
Fee	Fee	Fee	Fee	
Code	(\$)	Code	(\$)	Fee Description
1202	52	2202	26	Each claim over 20
1201	220	2201	110	Each independent claim over 3
1203	390	2203	195	Multiple dependent claims, if not paid
1204	220	2204	110	Reissue: each claim over 20 and more than in the original patent
1205	52	2205	26	Reissue: each independent claim more than in the original patent

	<u>Ex</u>	tra Claims	<u>Fee</u>	Fees Paid (\$)
Total Claims HP = highest number of to	- 20 or HP =	X than 20	\$ 26.00	=
	dependent claims paid for, i	X f greater than 3	\$110.00	=
Multiple Dependent Cla	ims			
		S	UBTOTAL (2)	\$ <u>0.00</u>

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Total Sheets	Extra Sheets	Number of each additoinal 50 or fraction thereof	Fee from below	Fees paid (\$)
	- 100 =/ 50 =	round up to whole number)	X <u>\$135.00</u>	
Large Entity Fee Fee	Small Entity Fee Fee <u>Fee Descri</u> j	otion: Application size fee for eac	ch additional g	roup of 50 sheets

Fee	Fee	Fee	Fee	Fee Description: Application size fee for each additional group of 50 sheets
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1083	270	2083	135	Plant
1084	270	2084	135	Reissue
1085	270	2085	135	Provisional
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SUBTOTAL (3)\$ 0.00

FEE CALCULATION (continued)							
4. OTHER FEE(S)							
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Non-Er	Non-English Specification, \$130 fee (no small entity discount)						
	Large Entity Small Entity						
Fee	Fee	Fee	Fee				
Code	(\$)	Code	(\$)	Fee Description			
1051	130	2051	65 25	Surcharge - late filing fee or oath	-		
1052	50 430	2052	25 420	Surcharge - late provisional filing fee or cover sheet			
1053 1812	130 2,520	1053 1812	130 2,520	Non-English specification For filing a request for ex parte reexamination			
1813	8,800	1813	8,800	Request for inter parties reexamination			
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action			
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	 ,		
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1252	490	2252	245	Extension for reply within second month			
1253	1,110	2253	555	Extension for reply within third month			
1254	1,730	2254	865	Extension for reply within fourth month			
1255	2,350	2255	1,175	Extension for reply within fifth month			
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1402	540	2402	270	Filing a brief in support of an appeal			
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1451	1,510	1451	1,510	Petition to institute a public use proceeding			
1452	540	2452	270	Petition to revive – unavoidable			
1453	1,620	2453	810	Petition to revive - unintentional	755.00		
1501	1,510	2501	755 430	Utility issue fee (or reissue)	755.00		
1502	860	2502	430 505	Design issue fee			
1503	1,190	2503 4.463	595 400	Plant issue fee Petitions to the Commissioner (CFR 1.17(f) Group I)			
1462	400 200	1462 1463	200	Petitions to the Commissioner (CFR 1.17(i) Group I)			
1463	130	1464	130	Petitions to the Commissioner (CFR 1.17(g) Group II)			
1464 1807	50 50	1807	50	Processing fee under 37 CFR 1.17(q)			
1806	180	1806	180	Submission of Information Disclosure Stmt			
8021	40	8021	40	Recording each patent assignment per property (times			
002.	•••			number of properties)			
1809	810	2809	405	For filing a submission after final rejection (see 37 CFR 1.129(a))			
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1802	900	1802	900	Request for expedited examination of a design application			
1504	300	1504	300	Publication fee for early, voluntary, or normal pub.	300.00		
1505	300	1505	300	Publication fee for republication			
1803	130	1803	130	Request for voluntary publication or republication			
1808	130	1808	130	Processing fee under 37 CFR 1.17(i) (except provisionals)	· · · · · · · · · · · · · · · · · · ·		
1454	1,410	1454	1,410	Acceptance of unintentionally delayed claim for priority			
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SUBTOTAL (4)\$ <u>1,085.00</u>							
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